## UL Certification Process

### INITIAL PHASE

| Sales Department                  | • Determining the scope  
|                                  | 1. New family/model, alternative construction  
|                                  | 2. Identifying the product category (CCN) and applicable standards  
|                                  | 3. Additional certification solutions. Global Market Access: CCC (China), INMETRO (Brazil), etc.  
|                                  | • Defining the work through formalities such as service contracts  
| Project Planner                  | • The Project Planner establishes the project plan with the customer  
|                                  | • Determination of the desired completion date  
|                                  | • The date for providing additional documentation is set by mutual agreement: information (schematics, list of materials), samples, etc.  
| Customer Service Department      | • The customer service department is the main point of contact in this phase  
|                                  | • Receive samples and information from the customer  
|                                  | • Review administrative information (such as service contracts with the manufacturer)  

### CONSTRUCTION, EVALUATION, AND TESTING PHASE

| Project Handler                  | • Project Handler: customer’s technical contact (project engineer) during construction analysis  
| Project Reviewer                 | • Project Reviewer: reviews the initial construction analysis  
| Testing Lab                      | • Samples are prepared for testing  
|                                  | • Tests are performed in UL Solutions’ or the customer’s laboratory (supervised/in-person/CTDP/TPTDP)  
|                                  | • In complex environments, it may be helpful to have the customer’s personnel in UL Solutions’ laboratory, or UL Solutions may perform tests in the customer’s laboratory (in-person tests)  

### CERTIFICATION AND MONITORING PHASE

| Project Handler                  | • Finalizing all technical matters  
| Project Reviewer                 | • Final joint verification between the customer and UL Solutions before the final review  
|                                  | • Sending the authorization notice and the finalization notice  
| Regional Transaction Center      | • Completing documentation and providing it to the customer  
| Monitoring Services              | • Initial production inspection (IPI) scheduled with a UL Solutions representative, if applicable  
|                                  | • The manufacturing facility must be ready for operation, if applicable, to perform monitoring service tests  
|                                  | • Periodic follow-up inspections help to maintain the product’s conformity during production.  

The content of this reference is for general information purposes only and is not intended to convey legal or other professional advice. Actual certification processes may vary.