Improve your social responsibility with UL’s responsible sourcing program and remediation services

Our comprehensive solutions for your global supply chain

A global supply chain presents a unique set of challenges in assessing sustainable practices across different regions and products. The composition of multi-tier supply chains adds an additional layer of complexity to monitoring efforts.

UL can help simplify your monitoring effort through our responsible sourcing program, which consists of six pillars:

- Expanding Responsible Sourcing Programs
  - Code of Conduct review and enhancement
  - Benchmarking codes and program elements
  - Environmental policy benchmarks
  - Specialized standard development
  - Supplier manuals
  - CSR manuals
  - Program manuals

- Supply Chain Risk and Due Diligence
  - Supply chain mapping
  - Risk identification
  - Risk analysis
  - Research
  - Due diligence

- Improving Audit Programs
  - Development of audit tools
  - Grading tools
  - Scoring methodology
  - Harmonizing social compliance program audits

- Specialized Audits
  - Third-party audits
  - Code of Conduct audits
  - Technical audits
  - Traceability audits
  - Investigations
  - UL social labor audits
  - UL CTPAT security audits

- Audit Alternatives
  - Using existing audit reports to provide regrades, waivers and exemptions
  - Specialized desktop document review
  - Blockchain validation processes
  - UL Verification Marks

- Improving Supplier Performance
  - Training (eg. root cause analysis & management systems training)
  - Onsite and/or remote remediation
  - Correction action & preventive action (CAPA)

UL’s remediation services

UL’s remediation services help companies improve social responsibility performance by assisting management personnel to improve overall performance related to responsible sourcing. We help companies identify the root causes behind audit findings and building systems to prevent problem reoccurrence.
The modular approach of UL’s remediation services

<table>
<thead>
<tr>
<th><strong>CORE MODULES</strong></th>
<th><strong>FOLLOW-UP MODULES</strong></th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Pre-engagement meeting</strong></td>
<td><strong>Implementation Planning Facilitation (IPF)</strong></td>
</tr>
<tr>
<td>1.5-2 hour call</td>
<td>1 consultant day</td>
</tr>
<tr>
<td>The initial pre-engagement meeting is to ensure client’s commitment to and understanding of the process.</td>
<td>1 consultant day</td>
</tr>
<tr>
<td>1-3 consultant days</td>
<td>1-2 hour call</td>
</tr>
<tr>
<td>- Root cause analysis</td>
<td>- Supporting facilities to address findings</td>
</tr>
<tr>
<td>- Management system evaluation</td>
<td>- Verifying the progress is on track</td>
</tr>
<tr>
<td>- Documentation review</td>
<td>- Helping client to remove obstacles to complete corrective actions</td>
</tr>
<tr>
<td>- Small group engagement and training</td>
<td>- Creating opportunities for management to demonstrate compliance on-site and ask questions when facing new situations arising during the implementation of the remediation plan</td>
</tr>
<tr>
<td>1-3 consultant days</td>
<td>- Reminding the site management team that the program and corrective actions are ongoing</td>
</tr>
<tr>
<td>- Root causes used to set goals with multiple steps</td>
<td></td>
</tr>
<tr>
<td>- Five components of management systems</td>
<td></td>
</tr>
<tr>
<td>- Key performance indicators (KPIs) identified</td>
<td></td>
</tr>
<tr>
<td>- Progress tracked through Gantt chart</td>
<td></td>
</tr>
</tbody>
</table>

Why UL

UL provides tailored solutions to responsible sourcing challenges globally, building on more than 20 years of industry experience.

We are the only social auditing firm in the world who holds ANAB ISO 17020 Accreditation for BSCI and RBA VAP Social Audits.

Tailored advisory solutions to drive measurable improvement

One of five global monitoring firms who provide global infrastructure, with industry-leading technical training, quality systems, and anti-bribery controls

Integrated client partnerships with professional team members who have a strong service culture. Our in-field experts can deliver innovative, customized and data-driven solutions

For additional information on UL’s remediation services, feel free to contact RSremediation@ul.com, or visit CRS.UL.com

Empowering Trust™

UL and the UL logo are trademarks of UL LLC © 2020.